

Docket No. 209802US2  
IN RE APPLICATION OF: Katsuhiko IWASHITA, et al.  
SERIAL NO: 09/884,152  
FILED: June 20, 2001  
FOR: OPTICAL WAVEGUIDE MODULE

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☒ Additional documents filed herewith: Marked-up copy

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	14	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	3	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



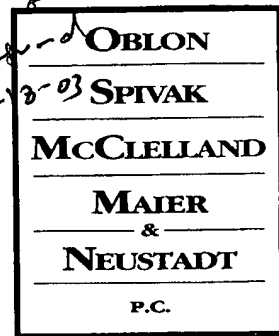
22850

Customer Number 22850  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)

Bradley D. Lytle

Registration No. 40,073

#7/Reg for  
07-18-03



June 18, 2003

UNITED STATES PATENT AND TRADEMARK OFFICE  
Box 16  
Washington, DC 20231

ATTORNEYS AT LAW  
KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Attn: Frank Lebron  
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of page 4 of our deposit account statement of April 30, 2003. See the highlighted charge on **serial number 09/884,152 for \$168.00 on fee code #1201.**

On April 16, 2003 an amendment was filed **that canceled independent claims 1 and 2 and added new independent claim 5 and 13. Therefore, the independent claim count remained the same and no fees should be charged on our deposit account.**

Please review this application and kindly refund **\$168.00** to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

With best regards,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel  
Accounting Department

Enclosures



# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

NORMAN F. OBLON

FINA

1940 DUKE STREET

ALEXANDRIA VA 22314

Account No.	150030
Date	4-30-03
Page	4

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE-POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	15	03	118	995184	221537US-0/GET	8007	20.00	25303.46
4	15	03	120	995187	0834-0106-S-3/GET	8007	20.00	25283.46
4	15	03	121	995188	221537US-0/GET	8007	20.00	25263.46
4	15	03	239	995476	222295US-2/GET	8007	20.00	25243.46
4	16	03	6	10318003	231850USOX C	205	65.00	25178.46
4	16	03	22	10284161	230158US3	1203	280.00	24898.46
4	16	03	54	PAYMENT		9203	-40000.00	64898.46
4	16	03	82	995886	165847CA (FF DEPT.)	8014	25.00	64873.46
4	16	03	100	5997054		155	890.00	63983.46
4	16	03	103	5473883		1552	2050.00	61933.46
4	16	03	104	5472314		1552	2050.00	59883.46
4	16	03	105	5476723		1552	2050.00	57833.46
4	16	03	106	5069034		1553	3150.00	54683.46
4	16	03	107	5074109		1553	3150.00	51533.46
4	16	03	108	6003842		255	445.00	51088.46
4	16	03	109	5478572		1552	2050.00	49038.46
4	16	03	110	5077414		1553	3150.00	45888.46
4	16	03	112	5084542		1553	3150.00	42738.46
4	16	03	113	5983097		155	890.00	41848.46
4	16	03	114	5982245		155	890.00	40958.46
4	16	03	117	5989482		155	890.00	40068.46
4	16	03	118	6011862		155	890.00	39178.46
4	16	03	151	10228274	227565USOCONT	100	10.00	39168.46
4	16	03	153	10228274	227565USOCONT	105	130.00	39038.46
4	16	03	462	10182776	226049USOPCT	1616	-280.00	39318.46
4	17	03	1	09997304	216630US2	1806	180.00	39138.46
4	17	03	1	09803103	204194 US	1622	132.00	39006.46
4	17	03	28	10351306	233164USOCON	1203	280.00	38726.46
4	17	03	32	09635116	05516/056002	1460	130.00	38596.46
4	17	03	47	5074532		1553	3150.00	35446.46
4	17	03	48	5986732		155	890.00	34556.46
4	17	03	50	5078813		1553	3150.00	31406.46
4	17	03	51	5073268		1553	3150.00	28256.46
4	17	03	61	95000010REPS		8024	13.75	28242.71
4	17	03	158	09408127	0557-4778-2	1202	108.00	28134.71
4	18	03	2	09884152	209802US 2	120	168.00	27966.71

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
-----------------	---------------	---------------	-----------------

5  
QSM&N File No. 209802US2

Dept.: E/M

By: BDL/dfd

Serial No. 09/884,152

In the matter of the Application of: Katsuhiko IWASHITA, et al.

For: OPTICAL WAVEGUIDE MODULE

Due Date: 4-16-03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Dep. Acct. Order Form
- ☒ Letter/Cover
- ☒ Amendment with marked-up copy



29 ✓ PAGES OF SPECIFICATION  
 13 ✓ SHEET(S) OF DRAWINGS  
 1 ✓ PRIORITY(IES) CLAIMED  
 ---- SMALL ENTITY

# CLAIM CALCULATION SHEET

Docket No. 209802US2

Serial No.

*Decided - ADS*  
*4/16/03*  
*2PQ*

CLAIM	APPLICATION AS FILED		4/16/03 AFTER 1 <sup>ST</sup> AMENDMENT		AFTER 2 <sup>ND</sup> AMENDMENT		AFTER 3 <sup>RD</sup> AMENDMENT	
	IND	DEP	IND	DEP	IND	DEP	IND	DEP
1	1		<i>cancel</i>					
2	1							
3		1						
4		1						
5		1						
6		1		1				
7		1		1				
8		1		1				
9		1		1				
10		1		1				
11		1		1				
12		1		1				
13		1		1				
14		1	<i>cancel</i>					
15		1						
16	1			1				
17		1		1				
18		1		1				
19		1		1				
20				1				
21								
22								
23								
24								
25								
26								
27								
28								
29								
30								
TOTAL	3	16	3	11				
	19		14					

MULTIPLE DEPENDENT CLAIM FEE PAID: ☐ YES ☒ NO